REC'D 21 FEB 2005

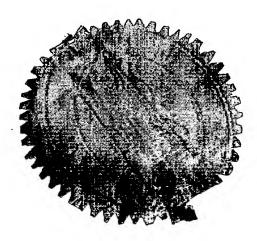




GOVERNMENT OF INDIA
MINISTRY OF COMMERCE & INDUSTRY
PATENT OFFICE, DELHI BRANCH
W - 5, WEST PATEL NAGAR
NEW DELHI - 110 008.

I, the undersigned being an officer duly authorized in accordance with the provision of the Patent Act, 1970 hereby certify that annexed hereto is the true copy of the Application, Complete Specification and Drawing Sheets filed in connection with Application for Patent No. 1305/Del/2003 dated 22nd October 2003.

Witness my hand this 13th day of January 2005.



(S.K. PANGASA)

Assistant Controller of Patents & Designs

PRIORITY DOCUMENT

SUBMITTED OR TRANSMITTED IN COMPLIANCE WITH RULE 17.1(a) OR (b)

BEST AVAILABLE COP

THE PATENTS ACT, 1970 22 OCT 2003

(39 of 1970)

APPLICATION FOR GRANT OF A PATENT

(See Sections 5(2), 7, 54 and 135; and rule 39)

- l We. RANBAXY LABORATORIES LIMITED, a Company incorporated under the Companies Act. 1956. Corporate Office at 19, Nehru Place, New Delhi - 110 019, India
- . <u>2.</u> hereby declare
 - that we are in possession of an invention titled "AN IMPROVED PROCESS FOR THE (a) PREPARATION OF CEFOTAXIME"
 - (b) that the Complete Specification relating to this invention is filed with this application.
 - that there is no lawful ground of objection to the grant of a patent to us.
 - 3. Further declare that the inventors for the said invention are
 - YATENDRA KUMAR
 - b. NEERA TEWARI
 - BISHWA PRAKASH RAI c.
 - d. HASHIM NIZAR POOVANATHIL NAGOOR MEERAN
 - RAM CHANDER ARYAN

of Ranbaxy Laboratories Limited. Plot No. 20, Sector-18, Udyog Vihar Industrial Area, Gurgaon -122001 (Haryana), India, all Indian Nationals.

- We claim the priority from the application(s) filed in convention countries, particulars of which are as follows: INDIAN PATENT APPLICATION NO.493/DEL/2001 FILED ON 17.04.2001.
- 5. We state that the said invention is an improvement in or modification of the invention, the particulars of which are as follows and of which we are the applicant: NOT APPLICABLE
- We state that the application is divided out of our application, the particulars of which are given 6. below and pray that this application deemed to have been filed on Under section 16 of the Act. NOT APPLICABLE
- 7. That we are the assignee or legal representatives of the true and first inventors.
- 8. That our address for service in India is as follows:

DR. B. VIJAYARAGHAVAN Associate Director – Intellectual Property Ranbaxy Laboratories Limited Plot No.20, Sector – 18, Udyog Vihar Industrial Area, Gurgaon - 122001 (Haryana). INDIA. Tel. No. (91-124) 2343126, 2342001-10; 5012501-10 9. Following declaration was given by the inventors or applicants in the convention country:

We. YATENDRA KUMAR. NEERA TEWARI, BISHWA PRAKASH RAI. HASHIM NIZ.\R POOVANATHIL NAGOOR MEERAN, RAM CHANDER ARYAN of Ranbaxy Laboratories Limited. Plot No. 20. Sector – 18, Udyog Vihar Industrial Area, Gurgaon–122001 (Haryana). India. all Indian Nationals, the true and first inventors for this invention or applicant in the convention country declare that the applicant herein, Ranbaxy Laboratories Limited, Corporate Office at 19. Nehru Place, New Delhi - 110 019, India, is our assignee or legal representatives.

a.

(YATENDRA KUMAR)

b. Alena renam

(NEERA TEWARI)

C.

(BISHWA PRAKASH RAI)

d.

(HASHIM NIZAR POOVANATHIL NAGOOR MEERAN)

(RAM CHANDER ARYAN)

- 10. That to the best of our knowledge, information and belief the fact and matters stated herein are correct and that there is no lawful ground of objection to the grant of patent to us on this application.
- 11. Followings are the attachment with the application:
 - a. Complete Specification (3 copies)
 - b. Drawings (3 copies)
 - c. Priority document(s)
 - d. Statement and Undertaking on FORM 3
 - e. Power of Authority (Not required)
 - f. Fee Rs.3,000/- (Rupees Three Thousand only..) in cheque bearing No. dated: drawn on HDFC Bank, New Delhi.

We request that a patent may be granted to us for the said invention.

Dated this 22ND day of October, 2003.

For Ranbaxy Laboratories Limited

(SUSHIL KUMAR PATAWARI) Company Secretary The Patents Act, 1970 (39 of 1970)

COMPLETE SPECIFICATION (See Section 10)

AN IMPROVED PROCESS FOR THE PREPARATION OF CEFOTAXIME

RANBAXY LABORATORIES LIMITED
19. NEHRU PLACE, NEW DELHI - 110019

A Company incorporated under the Companies Act, 1956.

The following specification particularly describes and ascertains the nature of this invention and the manner in which it is to be performed:

The present invention relates to a cost effective and commercially viable process for the preparation of cefotaxime at an industrial scale.

Chemically, cefotaxime is [(6R-[6 α ,7 β (Z)]]-3-acetoxymethyl-7-[2-(2-aminothiazol-4-yl)-2-methoxyimino) acetamido]-3-cephem-4-carboxylic acid having Formula I, as shown in the accompanied drawings and is known from the US Patent No. 4,152,432. Cefotaxime sodium is a semisynthetic, broad-spectrum cephalosporin antibiotic for parenteral administration characterized by activity against gram positive and gramnegative microorganisms.

Several general processes are known for the preparation of cephalosporin antibiotics viz., US 4,409,215, US 5,109,131, GB 2012276 and WO 00/63214. However, attempts for extending these processes for preparing cefotaxime sodium at an industrial scale did not give desired results with respect to yield and quality. More particularly, the synthetic process comprising coupling of reactive acid derivative of compound of Formula II, as shown in the accompanied drawings, with reactive derivative of an open chain compound of Formula III, as shown in the accompanied drawings, wherein X is a halogen selected from chloro, bromo and iodo, to get a compound of Formula IV, as shown in the accompanied drawings, and its subsequent cyclization with thiourea to obtain cefotaxime of Formula I was found to be unsatisfactory at a commercial scale. Processes cited in US 4,409,215 and GB 2012276 require protection at the carboxylic position of the compound of Formula II followed by the steps of coupling and cyclization as described above. The product obtained is then subjected to hydrolysis to get cefotaxime. The additional steps of protection and deprotection result in low yields and cost escalation. The processes cited in WO 00/63214 and US 5,109,131 require formation of compound of Formula IV as above and its subsequent cyclization with thiourea in a mixture of organic solvent and water to afford cefotaxime. Cefotaxime thus obtained is of poor quality and contains anti isomer of cefotaxime acid as a major impurity.

Hence, none of the processes heretofore described are completely satisfactory for various reasons.

The present invention provides a process for the preparation of cefotaxime of Formula I, or a pharmaceutically acceptable salt thereof, which involves simple operations and gives good yields and high purity (99%) by HPLC.

In particular, the present invention provides a process for the preparation of cefotaxime of Formula I, as shown in the accompanied drawings, or a pharmaceutically acceptable salt thereof, comprising:

- (i) reacting a compound of Formula V, as shown in the accompanied drawings, wherein R is hydrogen or a silyl group and R' is a silyl group or COOR' is a carboxylic acid salt, with a compound of Formula III, as shown in the accompanied drawings, or its reactive acid derivatives, wherein X is a halogen, to obtain a compound of Formula VI, wherein X and R' are as defined above,
- (ii) desilylating or acidifying the compound of Formula VI to isolate the compound of formula IV, as shown in the accompanied drawings and
- (iii) reacting the compound of Formula IV, with thiourea in aqueous medium in the presence of a weak base to obtain cefotaxime of Formula I, which may be converted into its salts.

According to another aspect of the present invention there is provided a process for the preparation of the intermediates of Formula IV, wherein X is a halogen.

Carboxylic acid salts of compound of Formula V include salt with a metal such as sodium or potassium, or salt with an organic amine such as triethylamine, pyridine, diclyclohexylamine or N, N-dimethylaniline.

R and R' in the compound of Formula V may be silyl groups which may be the same or different. Suitable silyl groups are trialkyl silyl groups wherein the alkyl substitutents may be the same or different. Preferred alkyl substituents are methyl, ethyl, isopropyl and tert-butyl. Preferred silyl groups are trimethylsilyl and tert-butyldimethylsilyl.

X in the compounds of Formula III, IV and VII is a halogen selected from chloro, bromo and iodo. X is preferably bromo.

Reactive acid derivatives of the compound of Formula III include the acid halides, the acid anhydride, mixed acid anhydrides, reactive esters, reactive amides and the acid

azide. Preferred mixed acid anhydrides include anhydrides with lower alkanoic acids such as pivalic acid, trichloroacetic acid or anhydride with a carbonic acid such as monomethylcarbonate. Preferred reactive esters include p-nitrophenylester, N-hydroxysuccinimido ester, N-hydroxyphthalimido ester, 2-mercaptobenzothioazolyl ester and 2-mercapto-5-methyl-1,3,4-thiadiazolyl ester. Among the reactive acid derivatives of Formula III, acid halides are preferred.

When the compound of Formula III is employed in the form of a free acid, the reaction step (i) is carried out in the presence of a condensing agent such as dicylohexylcarbodiimide or a Vilsmier reagent which may be prepared for example from dimethylformamide and phosphorous oxychloride.

Where a reactive derivative of the acid of Formula III is employed, the use of such a condensing agent is not required, however, it may be desirable to carry out the reaction in the presence of a base which may be an alkali metal compound such as sodium bicarbonate, sodium carbonate and potassium carbonate or an organic amine such as triethylamine, lutidine and pyridine.

The reaction of step (i) is usually conducted in a suitable solvent. When R, R' or both are silyl in the compound of Formula V, suitable solvents for the reaction include halogenated hydrocarbons such as methylene chloride, hydrocarbons such as toluene, ethers such as tetrahydrofuran or polar solvents such as dimethylformamide, or a mixture, thereof. When R is hydrogen and COOR' is a carboxylic acid salt in the compound of Formula V, suitable solvents for the reaction include methanol, ethanol, acetonitrile, dimethylformamide, water, or a mixture thereof.

The starting compounds of Formula V wherein R, R' or both are silyl may be obtained by silylating the corresponding 3- acetoxymethyl-7-amino-3-cephem-4-carboxylic acid of Formula II with a suitable silylating agent. Appropriate silylating agents include halosilanes such as trimethylsilylchloride (TMCS), dimethyldichlorosilane (DMDCS), silylated amides such as N, 0-bistrimethylsilyl acetamide (BSA), silazanes such as 1,1,1,3,3,3-hexamethyldisilazane (HMDS), silylated ureas such as N, N'-bis-(trimethylsilyl) urea (BSU), or a mixture thereof

Where COOR' is a carboxylic acid salt in the compound of Formula V, it may be obtained in a conventional manner, for example by treatment of compound of Formula II with a base such as sodium bicarbonate, triethylamine etc.

Compounds of Formula II and III may be obtained by methods known in the art.

Desilylation (Step ii) of a compound of Formula VI (wherein R' is a silyl group) may be carried out according to conventional methods such as treatment with methanol / water to isolate compound of Formula IV.

Isolation of the compound of Formula IV is an important aspect of the process of our invention and instrumental in obtaining the compound of Formula I in high yields and good quality. The reactions of steps (i) and (ii) result in the formation of impurities alongwith the desired product which are automatically removed during the isolation of compound of Formula IV.

The reaction of a compound of Formula IV with thiourea is carried out in the presence of a weak base such as sodium acetate and sodium bicarbonate in an aqueous medium comprising water and a water miscible organic solvent such as ethanol, methanol, isopropanol, acetone, tetrahydrofuran, acetonitrile, N, N-dimethylformamide or a mixture thereof. Compound of Formula IV is added to aqueous solution of the weak base at a temperature of about 0 to 15°C. Thereafter, an aqueous solution of thiourea is added to the above mixture at a temperature of about 0 to 15°C. The reaction may then be carried out a temperature of about 0 to 60°C, preferably at 0-25°C, more preferably at 10-25°C. Cefotaxime of purity 99% is obtained after acidifying the aqueous layer to a pH of about 2.5 to 3.

However, the reaction of compound of Formula IV with thiourea is best carried out in water and isolated as IPA or THF solvates in better yields than reported in prior art.

Cefotaxime so obtained may be converted to cefotaxime salts by methods known in the art such as reaction with sodium acetate in ethanol to get cefotaxime sodium.

In the following section a preferred embodiment is described by way of example to illustrate the process of this invention. However, it is not intended in any way to limit the scope of the present invention.

EXAMPLE

Preparation of cefotaxime Sodium

(i) 3-acetoxymethyl-7-[4-bromo-3-oxo-(Z)-2-methoxyiminobutyrylamino]- 3-cephem-4-carboxylic acid

Solution A

Hexamethyldisilazane (82.8g) and acetamide (60.7g) were refluxed in dichloromethane (800ml) in the presence of a catalytic amount of imidazole (1.0g). The mixture was cooled to 20 to 25°C and 3-acetoxymethyl-7-amino-3-cephem-4-carboxylic acid (100.0g) was added to the resulting solution and refluxed for 1 hour to obtain almost a clear solution. The solution was cooled to 5 to 10°C.

Solution B

Phosphorous pentachloride (74.3g) was added to a solution of 4-bromo-2-methoxyimino-3-oxobutyric acid (78.2g) in dichloromethane(100 ml) at about -20 to -10°C and stirred for about one hour at the same temperature. The mixture was cooled to -65 to -70°C and acetamide (65g) was added.

Solution A was added to solution B at about -70 °C and the temperature was slowly raised to -15 to -20°C in 40 minutes. The mixture was further stirred at about -15 to -10°C for 30 minutes. The reaction mixture was then poured into a mixture of water (1000 ml) and methanol(1000 ml). The organic layer was separated and the aqueous layer extracted with dichloromethane (200ml). The combined organic layer was washed with water (250ml) and concentrated under reduced pressure. Cold toluene (1000 ml) was added to the residue and the slurry stirred for 30 min. The product was filtered and washed with toluene (500ml). The solid obtained was then suspended in dichloromethane (500ml) and stirred for 90 minutes. The product was filtered washed with dichloromethane and dried to yield 140 g of the title compound.

(ii) 7-[2-(aminothiazol-4-yl)-2-(Z)-methoxyiminoacetamido]-3-acetoxymethyl-3-cephem-4-carboxylic acid, isopropyl alcohol solvate (1:1)

3-acetoxymethyl-7-[4-bromo-3-oxo-(Z)-2-methoxyiminobutyrylamino]-3-cephem-4-carboxylic acid (50g)obtained from step (i) was added to a solution of sodium acetate (85.3g) in water (500ml) at 10 to 15°C. Thereafter, a solution of thiourea (9.5g) in water(130 ml) was added to it. The mixture was stirred at 20 to 25°C for about one hour. Reaction mixture was then treated with activated carbon (5g) for 15 minutes, filtered, washed with water and diluted with isopropyl alcohol (200 ml) and pH of the aqueous layer was adjusted to about 2.8 to 3.0 with 6N hydrochloric acid. The mixture was cooled to 0 to 5°C and stirred for one hour to obtain cefotaxime as the isopropyl alcohol solvate (35g; purity by HPLC = 99%) after filtration and drying at 45-50°C.

(iii) 7-[2-(aminothiazol-4-yl)-2-(Z)-methoxyiminoacetamido]-3-acetoxymethyl-3-cephem-4-carboxylic acid, sodium salt (Cefotaxime sodium)

Preparation of sodium 2-ethylhexanoate solution

Sodium hydroxide (4g) was dissolved in methanol (30ml), cooled to 25°C and ethyl 2-hexanoic acid (12.2g) was added to it in 5 to 10 minutes at 25 to 30°C. The solution was diluted with ethyl acetate (25ml).

Preparation of cefotaxime sodium

Cefotaxime:isopropyl alcohol solvate (1:1, 25g) was suspended in methanol (75ml) at 0 to 5°C, and triethylamine (5g) solution in methanol (20ml) was added to it at the same temperature in 10 minutes. The solution was treated with activated carbon (5g) for 30 minutes, filtered and washed with methanol (35ml). The above solution of sodium 2-ethylhexanoate was added to the combined filtrate at 4 to 7°C. The mixture was stirred for 20 minutes at 4 to 5°C, ethyl acetate (100ml) was added to get turbidity in the solution and the stirring continued at the same temperature for 25 minutes. Ethyl acetate (475ml) was added in 35 to 40 minutes at 4 to 5°C and the stirring further continued at the same temperature for 60 minutes to obtain cefotaxime sodium (21.5g; purity by HPLC = 98.6%) after filtration and drying at 45-50°C.

WE CLAIM:

- A process for the preparation of cefotaxime of Formula I as shown in the accompanied drawings, or a pharmaceutically acceptable salt thereof, comprising
- (i) reacting a compound of Formula V, as shown in the accompanied drawings, wherein R is hydrogen or a silyl group and R' is a silyl group or COOR' is a carboxylic acid salt, with a compound of Formula III, as shown in the accompanied drawings, or its reactive acid derivatives, wherein X is a halogen, to obtain a compound of Formula VI, wherein X and R' are as defined above,
- (ii) desilylating or acidifying the compound of Formula VI to isolate the compound of formula IV, as shown in the accompanied drawings, and
- (iv) reacting the compound of Formula IV, with thiourea in aqueous medium in the presence of a weak base to obtain cefotaxime of Formula I, which may be converted into pharmaceutically acceptable salts.
- 2. The process of claim 1 wherein both R and R' are trimethylsilyl in the compound of Formula V, as shown in the accompanied drawings.
 - 3. The process of claim 1 wherein X is chloro or bromo in the compound of Formula III.
 - 4. The process of claim 1 wherein the reactive derivative of Formula III is the acid chloride.
 - 5. The process of claim 1 wherein the reaction of step (iii) is carried out in water alone.
 - 6. The process of claim 1 wherein the reaction of step (iii) is carried out in the presence of sodium acetate or sodium bicarbonate as the weak base.
- 7. The process of claim 1 wherein in step (iii), compound of Formula IV is added to an aqueous solution of sodium acetate or sodium bicarbonate at a temperature of about 0 to 15°C.

- 8. The process of claim 1 wherein in Step (iii) thiourea is added at a temperature of about 0 to 25°C.
- 9. The process of claim 1 wherein the reaction of step (iii) is performed at a temperature of about 10 to 30°C.
- 10. The process of claim 1 wherein cefotaxime is isolated at a pH of about 2.5 to 3.0.
- 11. The process for the preparation of cefotaxime of Formula I, as shown in the accompanied drawings, or a pharmaceutically acceptable salt thereof, as herein described and exemplified by the example.

Dated this 22ND day of October, 2003.

For Ranbaxy Laboratories Limited

(Sushii Kumar Patawari) Company Secretary

1305-03

ABSTRACT

220012003

AN IMPROVED PROCESS FOR THE PREPARATION OF CEFOTAXIME

The present invention relates to a cost effective and commercially viable process for the preparation of cefotaxime at an industrial scale.

Application No.

No. of sheets = 06

Sheet 01 of 06

- जिस्स्य

S NH2
NH S OME O COOH O

FORMULA I

For Ranbaxy Laboratories Limited

Kumar Patawari) Company Secretary

Application No.

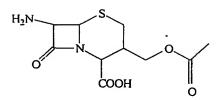
No. of sheets = 06

Sheet 02 of 06





毫2 OCT 2003



FORMULA II

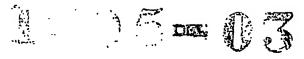
For Ranbaxy Laboratories Limited

still Rumar Patawari) Company Secretary

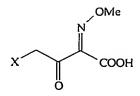
Application No.

No. of sheets = 06

Sheet 03 of 06



22 OCT 2003



FORMULA III

For Ranbaxy Laboratories Limited

ushii Kumar Patawari) Company Secretary

Application No.

No. of sheets = 06

Sheet 04 of 06

1 1 0 5 - 0 3

22 OCT 2003

NH S COOH O

FORMULA IV

For Ranbaxy Laboratories Limited

Sushil Kumar Patawari) Company Secretary

Application No.

No. of sheets = 06

Sheet 05 of 06



22 OCT 2009

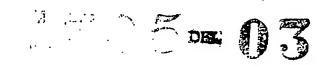
For Ranbaxy Laboratories Limited

Gushir Kumar Patawari) Company Secretary

Application No.

No. of sheets = 06

Sheet 06 of 06



FORMULA VI

For Ranbaxy Laboratories Limited

shil Kumar Patawari) Company Secretary

This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:
☐ BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
FADED TEXT OR DRAWING
BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
☐ COLOR OR BLACK AND WHITE PHOTOGRAPHS
GRAY SCALE DOCUMENTS
LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
Потнер.

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.